



SAARTHI - सारथी

करियर मार्गदर्शन पोर्टल · Career Guidance Portal

"सही मार्गदर्शन, उज्ज्वल भविष्य।"

करियर शीट · CAREER SHEET

अनुपालन अधिकारी

A compliance officer makes sure a bank or financial firm follows RBI, SEBI and its own internal rules, and prevents unfair business practices. It is a stable, respected banking career with steadily rising demand.



Scan for everything on this career

Salary, exams, colleges, the routes in and the steps after — all on the portal.

career.gsssjethantri.in/#/career/compliance-officer

राजकीय उच्च माध्यमिक विद्यालय, जेठन्तरी

Govt. Sr. Sec. School, Jethantri · ब्लॉक समदड़ी · बालोतरा (Block Samdari · Balotra)

www.gsssjethantri.in · career.gsssjethantri.in



सुशील कुमार शर्मा

प्रधानाचार्य, रा.उ.मा.वि. जेठन्तरी · मास्टर ट्रेनर
Principal, GSSS Jethantri · Master Trainer



श्री चेनाराम चौधरी

प्रधानाचार्य, डाइट बाड़मेर
Principal, DIET Barmer



श्रीमती संघमित्रा

वरिष्ठ व्याख्याता, डाइट बाड़मेर (सहयोग)
Sr. Lecturer, DIET Barmer (Support)



भरत चौधरी

अभिकल्पना व विकास · व्याख्याता (इतिहास)
Designed & developed · Lecturer (History)

विशेष धन्यवाद · SPECIAL THANKS



सुरेन्द्र सिंह राठौड़

व्याख्याता (इतिहास) · रा.उ.मा.वि. कानाना



सुरेश कुमार सैन

व्याख्याता (भूगोल) · रा.उ.मा.वि. रामसीन मूंगड़ा



वनिता कुमारी

व्याख्याता (इतिहास) · रा.उ.मा.वि. रामसीन मूंगड़ा



कमलेश चौधरी

व्याख्याता (राजनीति विज्ञान) · रा.उ.मा.वि. टापरा

करियर मार्गदर्शन कार्यशाला, डाइट बाड़मेर (14-17 जुलाई 2026) में विकसित व लोकार्पित
Developed & unveiled at the Career Guidance Workshop, DIET Barmer (14-17 July 2026)

यह एक स्वतंत्र परियोजना है, जो सरकार की आधिकारिक करियर-मार्गदर्शन सामग्री पर आधारित है। · An independent project based on the Government's official career-guidance content.

1 About this career

A compliance officer makes sure a bank or financial firm follows RBI, SEBI and its own internal rules, and prevents unfair business practices. It is a stable, respected banking career with steadily rising demand.

2 At a glance

Minimum qualification	Graduate in Commerce/Law/Finance
Key certification	IIBF / NISM compliance
Average salary	Rs 40,000-1,00,000/month
Work mode	Banks/financial firms (desk job)

3 What you need

INTERESTS

- Interest in rules and law
- Ethics and integrity
- Attention to accuracy and detail

ABILITIES

- Good at maths and analysis
- Skilled at record-keeping
- Careful and organised

KEY SKILLS

- Regulatory knowledge (RBI/SEBI)
- Audit and internal inspection
- Communication skills
- Data analysis
- KYC and AML understanding
- Report writing
- Ethical judgement

4 How to get there

- 1 Complete 10+2 in Commerce or Science.
- 2 Graduate in Commerce, Law, Economics or Finance.
- 3 Do a diploma or master's in compliance or corporate law.
- 4 Get an IIBF/NISM compliance certification.
- 5 Start as a compliance analyst in a bank/NBFC.

MAIN EXAMS

- IIBF Certified Compliance Professional
- NISM certification (SEBI-recognised)

5 Where to study

GOVERNMENT INSTITUTES

- National Law University (NLU) — Jodhpur, Rajasthan (<https://www.nlujodhpur.ac.in>)
- University of Rajasthan (Commerce/Law) — Jaipur, Rajasthan (<https://www.uniraj.ac.in>)
- National Law School of India University (NLSIU) — Bangalore
- National Law University, Delhi — Delhi

PRIVATE INSTITUTES

- Symbiosis Law School — Pune
- Jindal Global Law School — Sonapat
- ICFAI Law School — Hyderabad

ONLINE

- Indian Institute of Banking & Finance (IIBF) — Online (<https://www.iibf.org.in>)
- NISM Certifications — Online (<https://www.nism.ac.in>)
- SWAYAM / NPTEL — Online (<https://swayam.gov.in>)

FEES

Course fees range from about Rs 12,000 to Rs 7,56,000 depending on the institute and programme. IIBF/NISM certifications are very affordable.

SCHOLARSHIPS

- National Scholarship Portal (scholarships.gov.in) (<https://scholarships.gov.in>)
- Buddy4Study (<https://www.buddy4study.com>)
- Vidya Lakshmi Education Loan Portal (<https://www.vidyalakshmi.co.in>)
- Aditya Birla Scholarship (Law) (<https://www.adityabirlascholars.net>)

6 What the work is like

WHERE YOU WORK

Banks, financial and investment firms, insurance companies, NBFCs, and regulators such as RBI/SEBI.

WORK CULTURE

Mainly a desk job; usually no large team to manage. About 5-6 days a week, 8-9 hours a day. Opportunities exist for persons with disabilities.

WHO HIRES

- | | |
|-----------------------------|-------------------------------|
| • State Bank of India (SBI) | • HDFC Bank |
| • ICICI Bank | • Axis Bank |
| • RBI and SEBI | • NBFCs and fintech companies |

DEMAND (2026)

With stricter RBI and SEBI rules and rapid fintech growth, demand for compliance professionals is rising sharply in 2026.

7 Career growth

- 1 Compliance Analyst
- 2 Junior Compliance Officer
- 3 Compliance Manager
- 4 Head of Compliance
- 5 Chief Compliance Officer (CCO)

EARNINGS

Starting	₹25,000–40,000/माह
Mid level	₹60,000–1,00,000/माह
Senior level	₹2,00,000+/माह

Salary varies by institution, city and experience; these are indicative 2026 figures.

8 The good parts · The challenges

The good parts

- Stable and respected job
- Growing demand and secure future
- Desk-based, balanced work hours

The challenges

- Must keep learning constantly changing rules
- Little room for error, high responsibility
- Work can feel repetitive

9 Real-life journeys

Pravin Bathe

Chief Legal & Compliance Officer, Angel One

Dr Pravin Bathe was appointed Chief Legal & Compliance Officer at Indian stockbroker Angel One in 2022. Earlier he was India compliance head at Citigroup. He holds an LLB and a PhD in banking and finance.

ANI News · <https://aninews.in/news/business/business/fintech-company-angel-one-limited-appoints-ex-citigroup-dr-pravin-bathe-as-the-chief-legal-and-compliance-officer20220308132657/>

Shyamala Gopinath

Former RBI Deputy Governor & former Chairperson, HDFC Bank

Shyamala Gopinath served as Deputy Governor of the Reserve Bank of India, spending nearly 40 years in regulation and financial policy. She later became Chairperson of HDFC Bank-an example of women's leadership in regulation and compliance.

Wikipedia · https://en.wikipedia.org/wiki/Shyamala_Gopinath

10 If this route does not work out

One exam or one attempt does not close the door. The same subjects also open these routes — entry is usually easier, and you can return to this field later.

- Loan Officer
- Risk Manager
- Bank / Branch Manager

11 Sources & further reading

1. Rajasthan Govt Career Guidance — <https://cg.rajasthan.gov.in/careerguidance/hi/career/%e0%a4%85%e0%a4%a8%e0%a5%81%e0%a4%aa%e0%a4%be%e0%a4%b2%e0%a4%a8-%e0%a4%85%e0%a4%a7%e0%a4%bf%e0%a4%95%e0%a4%be%e0%a4%b0%e0%a5%80/>
2. Reserve Bank of India (RBI) — <https://www.rbi.org.in>
3. SEBI — <https://www.sebi.gov.in>
4. IIBF — <https://www.iibf.org.in>
5. NISM — <https://www.nism.ac.in>



And 770+ more careers — the whole portal

Interest and aptitude tests, government jobs, skill courses and a CV builder — free, no login, and it works offline.

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